Financial Documents
Initial Consultation Checklist

Please bring the following information / documents to your initial consultation:

Investment and Retirement Planning Documents:

* Recent IRA, 401(k), and 403(b) Statements
* Information on Employer Sponsored Pension and Retirement Plans
* Recent Statements for: Savings Accounts, Mutual and Brokerage Accounts; Annuities
* Listing of Stocks / Bonds held in Certificate Form
* Deferred Compensation and Stock Option Agreements
* Social Security Earnings Statements (Visit www.socialsecurity.gov/myaccount to obtain your statement.)

Tax Planning Documents:

* Copies of Prior Years Tax Return and K-1s
* Last Years W-2 Statements and Recent Pay Stub(s)
* Estimated Tax Payments (if applicable)

Financial Documents:

* Recent Mortgage and Loan Statements to include balance, interest rate, and payment
* Recent Credit Card Statements with a Balance
* Complete Budget/ Expense Worksheet

Insurance Information:

* Life Insurance Policies and Statements
* Disability Income and Long term Care Insurance Policies and Statements
* Information on Employer Provided Group Insurance Benefits

Estate Panning Documents

* Copies of Wills, Durable Powers of Attorney, Health Care Power, Trusts, Pre-Nuptial Agreements
* Copies of Deeds to Real Estate

Other:

* ____
* ____